

# A polytime proof of correctness of the Rabin-Miller algorithm from Fermat's Little Theorem

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## Abstract

Although a deterministic polytime algorithm for primality testing is now known ([4]), the Rabin-Miller randomized test of primality continues being the most efficient and widely used algorithm.

We prove the correctness of the Rabin-Miller algorithm in the theory  $\mathbf{V}^1$  for polynomial time reasoning, from Fermat's little theorem. This is interesting because the Rabin-Miller algorithm is a polytime randomized algorithm, which runs in the class  $\mathbf{RP}$  (i.e., the class of polytime Monte-Carlo algorithms), with a sampling space exponential in the length of the binary encoding of the input number. (The class  $\mathbf{RP}$  contains polytime  $\mathbf{P}$ .) However, we show how to express the correctness in the language of  $\mathbf{V}^1$ , and we also show that we can prove the formula expressing correctness with polytime reasoning from Fermat's Little theorem, which is generally expected to be independent of  $\mathbf{V}^1$ .

Our proof is also conceptually very basic in the sense that we use the extended Euclid's algorithm, for computing greatest common divisors, as the main workhorse of the proof. For example, we make do without proving the Chinese Remainder theorem, which is used in the standard proofs.

## 1 Introduction

A deterministic polytime algorithm for primality testing is now known ([4]), although it does not follow that the correctness of this algorithm can be shown with polytime concepts, and it is not at all clear that there exists a polytime proof of correctness.

In practice, the Rabin-Miller randomized algorithm for primality testing is the most widely used algorithm. It is fairly simple to describe, and very efficient (in runs in time  $O(n^4)$ , where  $n$  is the size of the binary encoding of the input

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number). The proof of correctness is basic, in the sense that it does not use major results of number theory; it is our task in this paper to provide a proof in the polytime theory  $\mathbf{V}^1$  ([1]) from Fermat’s Little theorem (a “pure”  $\mathbf{V}^1$  proof cannot be expected as Rabin-Miller is an  $\mathbf{RP}$  algorithm). Our result distills the hard (from a proof complexity point of view) theorem behind the correctness of the algorithm.

The proof complexity of randomized algorithm has been studied in depth in [2], and indeed it is shown there ([2, Example 3.2.10]) that there is an  $\mathbf{RP}$  predicate  $P(x)$ , which is  $1/2$ -definable in Buss’ polytime theory  $\mathbf{S}_2^1$ , such that  $\mathbf{S}_2^1$  proves “ $P(x)$  iff Fermat’s Little Theorem”. In our case, we use the basic machinery of  $\mathbf{V}^1$  and the following assertion of correctness: for every non-witness of compositeness there is a unique witness of compositeness (see Figure 4). This shows that at least half the elements of the sample space are witnesses, and proves the correctness of the algorithm.

Further, [2] claims that  $\mathbf{S}_2^1$  is able to prove that every number is uniquely representable as a product of prime powers— and the proof of the correctness of the Rabin-Miller algorithm relies on this. If we could prove the same fact in  $\mathbf{V}^1$ , we would have a polytime algorithm for factoring. This is the main difference when using our technique; we never argue about factorization of numbers. The  $1/2$ -definability (or, in general,  $s/t$ -definability) given in [2] is a slightly more general approach to comparing set sizes. To state that  $|A|$  is at least  $(s/t)|B|$ , it states the existence of a surjective mapping from  $t \cdot A$  to  $s \cdot B$ . In this paper, we force our mapping to be multiplication modulo  $P$ , whereas [2] makes it any polysize circuit.

No extra assumptions are necessary to prove the correctness of the algorithm on composites. However, to show that there are no false negatives, i.e., to show that the algorithm always answers correctly on inputs that *are* prime numbers, we use Fermat’s little theorem.

While there is no independence result showing that  $\mathbf{V}^1 \not\vdash$  “Fermat’s little theorem”, it is believed that it is not provable in  $\mathbf{V}^1$ . The reason for this belief (following [1]) is that the existential content of Fermat’s little theorem can be captured by its contrapositive form:

$$\underbrace{(1 \leq a < n) \wedge (a^{n-1} \neq 1 \pmod{n})}_{\text{hypothesis}} \supset \exists d(1 < d < n \wedge d|n) \quad (1)$$

and if we could prove Fermat’s theorem in  $\mathbf{V}^1$ , we could obviously prove the above formula as well (note that  $a^{n-1} \pmod{n}$  can be computed in polytime by repeated squaring).

If (1) were provable in  $V$ , then by a witnessing theorem it would follow that a polytime function  $f(a, n)$  exists whose value  $d = f(a, n)$  provides a proper divisor of  $n$  whenever  $a, n$  satisfy the hypothesis of (1). With the exception of the so-called Carmichael numbers, which can be factored in polynomial time, every composite  $n$  satisfies the hypothesis for at least half of the values of  $a$ ,  $1 \leq a < n$ . Hence,  $f(a, n)$  would provide a probabilistic polytime algorithm for integer factoring. Such an algorithm is thought unlikely to exist, and would

provide a method for breaking the RSA public-key encryption scheme.

In short, it is interesting to see how strong a theory one needs in order to prove the correctness of the Rabin-Miller algorithm. Since we do not know if it is possible to derandomize probabilistic polytime computations, we cannot hope to have a purely polytime proof in this case. It is still worthwhile to isolate the assumptions on which the theory “falls short” of the task, i.e., what is the principle underlying the Rabin-Miller algorithm which is responsible for the apparent inability of a polytime theory to prove its correctness? We answer that it is the Fermat’s little theorem, and show that  $\mathbf{V}^1$  proves the equivalence of the correctness of Rabin-Miller algorithm (properly stated) and Fermat’s Little theorem.

This paper is organized as follows. In section 2 we describe very briefly the theory  $\mathbf{V}^1$  for polytime reasoning. For a full background on  $\mathbf{V}^1$  see the book [1]. In section 3 we give some number theoretic preliminaries, we recall extended Euclid’s algorithm, and say that it can be shown correct in  $\mathbf{V}^1$ . We also recall Euler’s theorem, and Fermat’s Little theorem. In section 4 we show how we can build an algorithm for pseudoprimality (a number is pseudoprime if it is prime or a Carmichael number) from Fermat’s Little theorem. This introduces the Rabin-Miller test of primality, which extends the pseudoprimes by coping with the Carmichael numbers. The presentation of the Rabin-Miller algorithm, and its  $\mathbf{V}^1$  proof of correctness from Fermat’s Little theorem, are presented in section 5.

Finally, note that the original work on the Rabin-Miller algorithm has been published in [5, 6], but we use the presentation of the algorithm as given in [7].

## 2 The theory $\mathbf{V}^1$

In this section we introduce briefly the theory  $\mathbf{V}^1$  for polytime reasoning; see [1] for a full and detailed treatment.

$\mathbf{V}^1$  is a two sorted theory, where the two sorts are indices and strings. The strings are formally sets of numbers, where the correspondence with strings is given by  $i \in X$  iff the  $i$ -th bit is 1. We think of the strings as numbers encoded in binary. The indices are unary numbers used to index the strings, and their role is auxiliary; the main objects of interest are strings, which will encode numbers. The vocabulary of our theory is  $\mathcal{L}_A^2 = [0, 1, +, \cdot, ||; =_1, =_2, \leq, \in]$ .

Here the symbols  $0, 1, +, \cdot, =_1$  and  $\leq$  are from the usual vocabulary of Peano Arithmetic, and they are function and predicate symbols over the first sort (indices). The function  $|X|$  (the “length of  $X$ ”) is a number-valued function and it intended to denote the length of the string  $X$ . The binary predicate  $\in$  takes a number and a string as arguments, and is intended to be true if the position in the string given by this number is 1. (Note that technically, the strings are sets of numbers; hence the set theoretic notation.) Finally,  $=_2$  is the equality predicate for the second-sort objects. We will write  $=$  for both  $=_1$  and  $=_2$ , and which one it is will be clear from the context. Sometimes we shall use the abbreviation  $X(t)$  for  $t \in X$ .

We denote by  $\Sigma_0^B$  the set of formulas over the language  $\mathcal{L}_A^2$  whose only quantifiers are bounded number quantifiers, and we denote by  $\Sigma_1^B$  the set of formulas of the form

$$(\exists X_1 \leq t_1) \cdots (\exists X_n \leq t_n) \alpha$$

where  $\alpha$  is a  $\Sigma_0^B$  formula. Here the expression  $(\exists X \leq t)$  denotes  $(\exists X)[|X| \leq t]$ .

- B1.  $x + 1 \neq 0$
- B2.  $x + 1 = y + 1 \supset x = y$
- B3.  $x + 0 = x$
- B4.  $x + (y + 1) = (x + y) + 1$
- B5.  $x \cdot 0 = 0$
- B6.  $x \cdot (y + 1) = (x \cdot y) + x$
- B7.  $(x \leq y \wedge y \leq x) \supset x = y$
- B8.  $x \leq x + y$
- B9.  $0 \leq x$
- B10.  $x \leq y \vee y \leq x$
- B11.  $x \leq y \leftrightarrow x < y + 1$
- B12.  $x \neq 0 \supset \exists y \leq x (y + 1 = x)$
- L1.  $y \in X \supset y < |X|$
- L2.  $y + 1 = |X| \supset y \in X$
- SE.  $[|X| = |Y| \wedge \forall i < |X| (i \in X \leftrightarrow i \in Y)] \supset X = Y$

Figure 1: The 2-BASIC axioms.

For a set of formulas  $\Phi$ , the *Comprehension Axiom Scheme*,  $\Phi$ -COMP, is the set of formulas

$$(\exists X \leq y)(\forall z < y)(X(z) \leftrightarrow \phi(z))$$

where  $\phi(z)$  is any formula in  $\Phi$ , and  $X$  does not occur free in  $\phi(z)$ .

The theory  $\mathbf{V}^i$ , for  $i = 0, 1$  is the theory with the axioms 2-BASIC (in figure 1) and the  $\Sigma_i^B$ -COMP axiom scheme.

Proving the correctness of the Rabin-Miller algorithm we are going to rely heavily on the following theorem, proved in [1]:

**Theorem 2.1 ( $\mathbf{V}^1$  captures polytime reasoning)** *A function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$ , i.e.,  $f$  is a function from strings to strings, is polytime computable iff there exists a formula  $\phi \in \Sigma_1^B$  such that:*

$$\begin{aligned} \phi(X, Y) &\iff f(X) = Y \\ \mathbf{V}^1 &\vdash \forall X \exists Y \phi(X, Y) \end{aligned}$$

See [1] for a proof of this theorem.

The theory  $\mathbf{V}^1$  allows us to prove induction and minimization axioms from the axioms we already have. As we make use of those in our proof of the correctness of the Rabin-Miller algorithm, we state them here explicitly.

The *Number Induction Axiom* states that if  $\Phi$  is a set of two-sorted formulas, then  $\Phi$ -IND axioms are the formulas

$$[\phi(0) \wedge \forall x, \phi(x) \supset \phi(x + 1)] \supset \forall z \phi(z)$$

where  $\phi$  is a formula in  $\Phi$ .

The *Number Minimization Axiom* states that if  $\Phi$  is a set of two-sorted formulas, then  $\Phi$ -MIN axioms are the formulas

$$\exists z \phi(z) \supset \exists y [\phi(y) \wedge \neg \exists x (x < y \wedge \phi(x))]$$

where  $\phi$  is a formula in  $\Phi$ .

We are of course interested in the cases where  $\Phi$  is either  $\Sigma_0^B$  or  $\Sigma_1^B$ .

**Theorem 2.2** *For  $i = 0$  or  $i = 1$ ,  $\mathbf{V}^i$  proves both  $\Sigma_i^b$ -IND and  $\Sigma_i^b$ -MIN.*

See [1] for a proof of this theorem. Note that this theorem allows us to do induction of  $\Sigma_1^B$  formulas, and minimization over  $\Sigma_1^B$  formulas, when arguing about the correctness of the Rabin-Miller theorem, without taking us outside the polytime theory  $\mathbf{V}^1$ .

### 3 Number theoretic background

In this section we give the basic number theoretic notions that will be used in our paper, as well as recall Euler's theorem and its corollary, Fermat's Little theorem.

We do not need Euler's theorem in our proof of correctness, but we include it since it provides the most general proof of Fermat's Little theorem which is the principle from which, as we show, the correctness of the Rabin-Miller algorithm follows. We recall that Euler's theorem itself follows directly from Lagrange's theorem (of course, it also follows directly from the Prime Factorization theorem).

We also present Euclid's algorithm for computing the greatest common divisor of two numbers. The correctness of the extended Euclid's algorithm (provable in  $\mathbf{V}^1$ ) is the main workhorse of our proof.

Two numbers  $x, y$  are *equivalent modulo* a third number  $p$  (we write  $x = y \pmod{p}$ ) if they differ by a multiple of  $p$ . Every number is equivalent modulo  $p$  to some number in  $\mathbb{Z}_p = \{0, 1, \dots, (p - 1)\}$ .

For convenience we let  $\mathbb{Z}_p^+ = \{1, \dots, (p - 1)\}$ . We let  $\mathbb{Z}_p^*$  be the subset of  $\mathbb{Z}_p^+$  of elements  $a$  such that  $\gcd(a, p) = 1$ . Note that  $(\mathbb{Z}_p, +)$  is a group (under addition) and  $(\mathbb{Z}_p^*, \cdot)$  is a group (under multiplication). The latter fact means that  $\mathbb{Z}_p^*$  can be alternatively defined as

$$\{a \in \mathbb{Z}_p^+ \mid a \text{ has a (multiplicative) inverse in } \mathbb{Z}_p^+\}$$

and it follows from the next lemma.

**Lemma 3.1 (Euclid’s Lemma)** *For any two numbers  $a$  and  $b$  there exist numbers  $x$  and  $y$  such that  $ax + by = \gcd(a, b)$ . Furthermore, the correctness of the extended Euclid’s algorithm (where “correctness” simply states that on input  $a, b$  the output  $x, y$  satisfies the condition  $ax + by = \gcd(a, b)$ ) is provable in  $\mathbf{V}^1$ .*

PROOF: The lemma can be proved by analyzing the extended Euclid’s algorithm:

On input  $(a, b)$ :

1. if  $a < b$  then
2.   let  $(y, x, d) := \text{euclid}(b, a)$
3.   return  $(x, y, d)$
4. if  $b = 0$  then
5.   return  $(1, 0, a)$
6. let  $(z, x, d) := \text{euclid}(b, a \bmod b)$
7. return  $(x, z - (a \div b)x, d)$

Figure 2: Extended Euclid’s algorithm

The correctness of the algorithm is easily shown by induction, with the inductive step (for lines 6-7) proved as follows:

$$\begin{aligned} ax + b(z - (a \div b)x) &= ax + bz - b(a \div b)x \\ &= bz + (a - b(a \div b))x \\ &= bz + (a \bmod b)x \\ &= d \end{aligned}$$

This is clearly a proof that can be carried out in polynomial time, i.e., in  $\mathbf{V}^1$ .  
□

The easiest way to prove Euler’s theorem is from Lagrange’s theorem. The proof of Lagrange’s theorem is basic, and it is included in all standard algebra textbooks. Still, it is a proof that we do not know how to carry out in  $\mathbf{V}^1$ .

**Theorem 3.1 (Lagrange’s Theorem)** *If  $H$  is a subgroup of  $G$ , then the order of  $H$  divides the order of  $G$ , i.e.,  $H \leq G \Rightarrow |H| \mid |G|$ . In particular, the order of any element divides the order of the group.*

The function  $\phi(n)$  is called the *Euler totient function*, and it is the number of elements less than  $n$  that are co-prime to  $n$ , i.e.,  $\phi(n) = |\mathbb{Z}_n^*|$ . If we are able to factor, we are also able to compute  $\phi(n)$ : suppose  $n = p_1^{k_1} p_2^{k_2} \cdots p_l^{k_l}$ , then  $\phi(n) = \prod_{i=1}^l p_i^{k_i-1} (p_i - 1)$ .

**Theorem 3.2 (Euler’s Theorem)** *For every  $n$  and every  $a \in \mathbb{Z}_n^*$ ,  $a^{\phi(n)} = 1 \pmod{n}$ .*

PROOF: This is a consequence of Lagrange’s Theorem (which says that the order of any subgroup, and hence the order of any element, divides the order of the group).  $\square$

**Theorem 3.3 (Fermat’s Little Theorem)** *For every prime  $p$  and every  $a \in \mathbb{Z}_p^+$ , we have  $a^{(p-1)} = 1 \pmod{p}$ .*

PROOF: A consequence of Euler’s Theorem. Note that when  $p$  is a prime,  $\mathbb{Z}_p^+ = \mathbb{Z}_p^*$ , and  $\phi(p) = (p - 1)$ .  $\square$

Currently we do not have a polytime proof of Fermat’s Little theorem, and for the reasons outlined in the introduction we do not expect to be able to prove it in a theory like  $\mathbf{V}^1$ , since a standard witnessing argument would then imply that we can have a randomized polytime algorithm for factoring, which is something that is generally not believed to be possible.

As an aside, note that a stronger induction than the one in  $\mathbf{V}^1$ , i.e., an induction that can be carried out on “values” of strings, rather than on “notation”, which means an induction of the kind as in the theory  $\mathbf{T}_2^1$  (see [3, §5.2]), can prove Fermat’s Little theorem. Here is the outline of the proof: we show that for  $\gcd(a, p) = 1$ ,  $a^p = a \pmod{p}$ , by induction on  $a$ . It is enough to prove this, since if  $\gcd(a, p) = 1$ , then  $a$  has an inverse in  $\mathbb{Z}_p^+$ , and so Fermat’s Little theorem follows. The basis case is trivial:  $1^p = 1 \pmod{p}$ . Now  $(a + 1)^p = a^p + 1 + \sum_{j=1}^{p-1} \binom{p}{j} a^{p-j}$  (where we need  $\Sigma_1^B$  formulas to express the binomial expansion). Note that  $\sum_{j=1}^{p-1} \binom{p}{j} a^{p-j} = 0 \pmod{p}$ , and so the result follows.

## 4 Pseudoprimes

Fermat’s little theorem provides a “test” for primality, called the Fermat test. When we say that  $p$  passes the Fermat test at  $a$ , we mean that  $a^{(p-1)} = 1 \pmod{p}$ . Thus, all primes pass the Fermat test for all  $a \in \mathbb{Z}_p^+$ .

Unfortunately, there are also composite numbers  $n$  that pass the Fermat tests at every  $a \in \mathbb{Z}_n^*$ ; these are the so called *Carmichael numbers* (e.g., 561, 1105, 1729).

**Lemma 4.1** *If  $p$  is a composite non-Carmichael number, then it passes Fermat’s test for at most half of the elements of  $\mathbb{Z}_p^*$ .*

PROOF: (This is exercise 10.16 in [7]) Call  $a$  a *witness* if it fails the Fermat test for  $p$ , that is, if  $a^{(p-1)} \neq 1 \pmod{p}$ .

Consider  $S \subseteq \mathbb{Z}_p^*$  consisting of those elements  $a \in \mathbb{Z}_p^*$  for which  $a^{p-1} = 1 \pmod{p}$ . It is easy to check that  $S$  is in fact a *subgroup* of  $\mathbb{Z}_p^*$ . Therefore, using the Lagrange Theorem,  $|S|$  must divide  $|\mathbb{Z}_p^*|$ . Suppose now that there exists an element  $a \in \mathbb{Z}_p^*$  for which  $a^{p-1} \neq 1 \pmod{p}$ . Then,  $S$  is not “everything” (i.e., not  $\mathbb{Z}_p^*$ ), so the next best thing it can be is “half” (of  $\mathbb{Z}_p^*$ ).  $\square$

A number is *pseudoprime* if it is either prime or Carmichael. The last lemma suggests an algorithm for pseudoprimes: on input  $p$ , check  $a^{(p-1)} = 1 \pmod{p}$

for some random  $a \in \mathbb{Z}_p^+$ . If the test fails (i.e.,  $a^{(p-1)} \neq 1$ ), then  $p$  is composite for sure. If  $p$  passes the test, then it is probably pseudoprime. From the above lemma we know that the probability of error in this case is  $\leq \frac{1}{2}$ . Note that if  $\gcd(a, p) \neq 1$ , then  $a^{(p-1)} \neq 1 \pmod{p}$ . Thus, on Carmichael numbers, the algorithm for pseudoprimeness might answer sometimes “composite”, and sometimes “pseudoprime”.

## 5 Rabin-Miller Algorithm

The Rabin-Miller algorithm (Figure 3) “copes” with the Carmichael numbers, in effect turning the algorithm for pseudoprimality given in the previous section into an algorithm for primality.

On input  $(p, a)$ :

1. If  $p$  is even, accept if  $p = 2$ ; otherwise, reject.
2. Compute  $a^{(p-1)} \pmod{p}$  and reject if  $\neq 1$ .
3. Let  $(p - 1) = s2^h$  where  $s$  is odd.
4. Compute the sequence  $a^{s \cdot 2^0}, a^{s \cdot 2^1}, a^{s \cdot 2^2}, \dots, a^{s \cdot 2^h} \pmod{p}$ .
5. If some element of this sequence is not 1, find the last element that is not 1, and reject if that element is not  $-1$ .
6. Accept.

Figure 3: The Rabin-Miller algorithm.

Note that if we got to line 4. in the algorithm, it means that  $a^{s \cdot 2^h} = 1 \pmod{p}$ . We say that  $a$  is a *witness* (of compositeness) of type 1 or type 2 if the algorithm rejects at step 2 or step 5, respectively.

The algorithm is polytime (we can compute the sequence in step 4 via iterated squaring). If we randomly select the  $a$  from  $\mathbb{Z}_p^+$ , it will become a **RP** algorithm.

Before proving that the algorithm is correct, we have to state this fact in the language of our theory. We would like to say that “there are few false positives”. The meaning of “few” can be chosen to be “at most one half” (if we need a better bound, we can achieve them using the idea of *amplification*, meaning that we repeat the algorithm  $k$  many times, on independently selected  $a$ 's, and achieve an error of  $\frac{1}{2^k}$ ; which for  $k$  equal to, say, 100, is negligible).

But how do we speak about probability? The obvious way would be to express our event space and capture the size of the subset of “bad” events (i.e., the non-witnesses). But this is not possible in  $\mathbf{V}^1$ , because the event space is exponential in length of the input  $P$ , and  $\mathbf{V}^1$  only allows us to talk about polynomial-length strings (and giving it more power in this domain would allow us to capture more than polytime reasoning and thus defeat the purpose of this



analysis).

How then can we compare the cardinalities of two sets without mentioning them explicitly? The set of non-witnesses is at most half of the size of the set of all candidates if and only if there exists an injective mapping from non-witnesses to witnesses. Again, stating an existence of such a mapping in general is not possible in  $\mathbf{V}^1$ , so we strengthen our goal to prove the existence of a particular type of mapping—see figure 4. Because we require  $T$  to have an inverse  $T'$

$$\begin{aligned}
 1 < D < P \wedge D|P &\implies \\
 \exists T, T' \leq |P| \text{ such that} & \\
 T * T' = 1 \pmod{P} \text{ and} & \\
 \forall A \leq |P| & \\
 \text{“}A \text{ is a non-witness”} & \\
 \implies \text{“}(A * T \pmod{P}) \text{ is a witness”} &
 \end{aligned}$$

Figure 4: Correctness assertion.

modulo  $P$ , we know that the function mapping  $A$  to  $A * T$  is injective. Note that the statement we want to prove is not a  $\Sigma_1^B$  formula. But this is not a problem, as  $\mathbf{V}^1$  only restricts the comprehension axiom scheme (and thus the induction) to  $\Sigma_1^B$  formulas.

We will start by showing that a composite  $P$  is either a power of a smaller number  $Q$ , or a product of two relatively prime numbers  $Q$  and  $R$ . Because we do not know how to talk about prime factorization of  $P$  in  $\mathbf{V}^1$ , we will use the following recursive algorithm:

On input  $(Q, E, R)$ :

1. while  $\gcd(Q, R) = G > 1$
2.     if  $G = Q$ , let  $(Q, E, R) := (Q, E + 1, R/Q)$
3.     otherwise, let  $(Q, E, R) := (Q/G, E, G^E R)$
4. return  $(Q, E, R)$

Figure 5: Factoring.

It is not difficult to see that the while loop preserves the following invariants:

- $P = Q^E R$
- $Q > 1$
- $R = 1 \implies E > 1$

Therefore the result gives us either  $P = Q^E$  with  $E > 1$  (when  $R = 1$ ), or  $P = QR$  with  $Q, R > 1$  and  $\gcd(Q, R) = 1$ . Moreover, every iteration either increases  $E$  by 1 or decreases  $Q$  by at least half, so the algorithm runs in polynomial time. Therefore, given that  $P$  is composite, and we have a factor

$D$  of  $P$ , i.e.,  $1 < D < P, D|P$ , we can initialize the algorithm with  $(D, 1, P/D)$  and thus prove (in  $\mathbf{V}^1$ ) that one of two desired cases holds indeed.

In the case when  $P = Q^E, E > 1$ , we simply set

$$\begin{aligned} T &:= 1 + Q^{(E-1)}, \\ T' &:= T^{(P-1)} \pmod{P}. \end{aligned}$$

Then we can show (by induction on the length of  $J$ ) that

$$T^J = 1 + JQ^{(E-1)} \pmod{P},$$

and conclude that

$$TT' = T^P = 1 \pmod{P}.$$

Moreover, whenever  $A$  is a non-witness, we know that

$$A^{(P-1)} = 1 \pmod{P},$$

and thus

$$(AT)^{(P-1)} = T^{(P-1)} = T' \neq 1 \pmod{P},$$

so  $AT$  is a (type 1) witness, as required.

In the other case more work needs to be done. First we represent  $(P-1) = S2^h$ , with odd  $S$ , as in the algorithm. Then we let

$$\alpha(i) := (\exists Z \leq |P|)[Z^{S2^i} = -1 \pmod{P}].$$

From the fact that  $S$  is odd we know that  $\alpha(0)$  (take  $Z = P-1$ ). Now  $\alpha(h)$  is either true or false. If it is true, then we let both  $T$  and  $T'$  to be the  $Z$  witnessing that fact. Thus we have:

$$TT' = Z^2 = (-1)^2 = 1 \pmod{P},$$

and, as before, whenever  $A$  is a non-witness,  $AT$  is a (type 1) witness.

When  $\alpha(h)$  is false then by minimality principle (equivalent to induction, and allowed because  $\alpha$  is a  $\Sigma_1^P$  formula) we can get the smallest  $i$  for which  $\alpha(i+1)$  is false. Let  $Z$  be the witness of  $\alpha(i)$  being true. Remember that we have a factoring  $P = QR$ , with  $\gcd(Q, R) = 1$ . According to Euclid's lemma we can compute  $X$  and  $Y$  such that

$$XQ + YR = \gcd(Q, R) = 1.$$

Now we let  $T := XQ + YZR \pmod{P}$ ,  $T' := T^{S^{2^{i+1}}-1}$  and notice that

$$\begin{aligned}
T &= XQ + YZR \\
&= XQ + YZR + X(Z-1)Q \\
&= Z(XQ + YR) = Z && \pmod{Q} \\
T &= XQ + YZR \\
&= XQ + YZR - Y(Z-1)R \\
&= XQ + YR = 1 && \pmod{R} \\
T^{S^{2^i}} &= Z^{S^{2^i}} = -1 && \pmod{Q} \\
T^{S^{2^i}} &= 1^{S^{2^i}} = 1 && \pmod{R} \\
TT' &= T^{S^{2^{i+1}}} = (-1)^2 = 1 && \pmod{Q} \\
TT' &= T^{S^{2^{i+1}}} = 1^2 = 1 && \pmod{R} \\
TT' &= 1 && \pmod{P}
\end{aligned}$$

Suppose that  $P|(T^{S^{2^i}} + 1)$ . Then  $R|(T^{S^{2^i}} + 1)$ . But as  $R|(T^{S^{2^i}} - 1)$ , we would have that

$$R|((T^{S^{2^i}} + 1) - (T^{S^{2^i}} - 1)) = 2$$

and thus  $2 = R|P$  which is not possible, as the algorithm deals with even  $P$ 's in step 1.

Analogously, we cannot have  $P|(T^{S^{2^i}} - 1)$ . Therefore we know that  $T^{S^{2^i}} \not\equiv \pm 1 \pmod{P}$ . Now, if we consider any non-witness  $A$ , we will have

$$A^{S^{2^i}} \equiv \pm 1 \pmod{P} \text{ and } A^{S^{2^{i+1}}} \equiv 1 \pmod{P}$$

owing the way  $i$  was chosen. But then  $(AT)^{S^{2^i}} \not\equiv \pm 1 \pmod{P}$  and  $(AT)^{S^{2^{i+1}}} \equiv 1 \pmod{P}$ , so again  $AT$  is a (type 2) witness.

Having considered all the cases, we have proved (in  $\mathbf{V}^1$ ) that the probability of accepting a composite number is at most  $\frac{1}{2}$ . To arrive at the correctness of the Rabin-Miller test we need to prove one last lemma:

**Lemma 5.1** *Suppose that  $P$  is a prime number. Then the Rabin-Miller algorithm accepts  $(P, A)$  for every  $A \in \mathbb{Z}_p^+$  (that is, there are no false negatives).*

PROOF: Assume that  $P$  is prime, but the algorithm rejects  $(P, A)$ . If  $A$  was a type 1 witness,  $A^{(P-1)} \not\equiv 1 \pmod{P}$  then Fermat's little theorem would imply that  $P$  is composite. If  $A$  was a type 2 witness, some  $B$  exists in  $\mathbb{Z}_p^+$ , where  $B \not\equiv \pm 1 \pmod{P}$  and  $B^2 \equiv 1 \pmod{P}$ . Therefore,  $(B^2 - 1) \equiv 0 \pmod{P}$ , and so  $P$  has to divide  $(B-1)(B+1)$ . But because  $B \not\equiv \pm 1 \pmod{P}$ , both  $(B-1)$  and  $(B+1)$  are strictly between 0 and  $P$ . As we assumed  $P$  to be a prime, we have  $\gcd(P, B-1) = \gcd(P, B+1) = 1$ , and (using Euclid's lemma),  $\gcd(P, (B-1)(B+1)) = 1$ , a contradiction.  $\square$

The only part of this lemma (and thus of the whole proof of correctness) not shown in  $\mathbf{V}^1$  is the Fermat's little theorem. It is also obvious that it is implied

by the correctness of the Rabin-Miller algorithm. Therefore we can formulate the main result of this work:

**Theorem 5.1**  $\mathbf{V}^1$  *proves the equivalence of Fermat's little theorem to the correctness of the Rabin-Miller randomized algorithm for primality.*

## 6 Conclusion

We gave a direct and conceptually simple proof of the equivalence, in  $\mathbf{V}^1$ , of the correctness of the Rabin-Miller theorem (properly stated), and Fermat's Little Theorem. The proof relies on rudimentary number theory, and more concretely, on a proof of correctness in  $\mathbf{V}^1$  of the extended Euclid's algorithm for computing the greatest common divisor.

It is a very interesting open problem, although probably very difficult, to show an independence of Fermat's Little theorem from  $\mathbf{V}^1$ , and hence the independence of the correctness of the Rabin-Miller algorithm from  $\mathbf{V}^1$ .

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